

INFORMATION NOTE

*regarding the processing of the personal data of the shareholders, natural persons, and of the natural persons, representatives of the shareholders, for the purpose of organizing and conducting the meetings of the General Meeting of Shareholders holding shares of the **UMEX SA Company** - all referred to generically as "Shareholder Meetings".*

1. The character data operator

"The UMEX - SA company, a joint-stock company, established and operating in accordance with Romanian legislation, registered at the Trade Registry under no. J13/604/1991, CUI 1888500, having a subscribed and paid-up capital of 1,466,780 RON (the "Company"), with registered office in Constanța municipality, Incinta Port Nou- Dana 44, Constanța County, Romania, operating in accordance with the provisions of the Company's articles of incorporation, Companies Law No. 31/1990, Law No. 24/2017 on issuers of financial instruments and market operations and ASF Regulation No. 5/2018 on issuers of financial instruments and market operations. It is one of the important Port Operators from the Port of Constanța, with as its main activities, currently, the operation, unloading/loading, handling and storage of bulk goods, general goods and rolled products, but also related services (maneuvering wagons in the port, packing/bagging, customs formalities, tally and inspection of the condition of the goods)" .

2. Categories of data subjects:

In its capacity as Personal Data Operator, related to the organization (e.g. issuance, transmission and publication of the notice), the conduct of the Shareholders' Meetings and, respectively, the implementation of the resolutions/decisions adopted during the Shareholders' Meetings, **UMEX SA** processes personal data in good faith personnel of the following **categories of data subjects** :

- Shareholders of the Company (natural persons);
- The legal representatives of the Company's shareholders - legal persons or entities without legal personality);
- The general or special proxies of the shareholders of **UMEX SA** ;
- Natural persons who are part of the Company's bodies - ex. governing bodies, administration, Advisory Board;
- Substitute representatives of the shareholders (in case the representative appointed by power of attorney is unable to fulfill his mandate);
- Natural persons representing the credit institution (the provider of custody services for the Operator's shareholders).

3. Categories of personal data:

With a view to organizing, convening, conducting meetings of the Shareholders' Meetings and implementing the decisions adopted within them, the Company expressly informs the persons concerned, through this Information Note, in accordance with articles 12 and 13 of the GDPR, regarding the processing (including storage) their personal data, under the conditions described in this Information Note and in accordance with the GDPR.

The data processed are:

- name and surname;
- the data from the identity document (identity card, passport) or from the power of attorney (as the case may be);
- the capacity held, on the basis of which the processing takes place (shareholder, legal representative of the shareholder, special or general proxy of the shareholder, authorized person of the credit institution);
- opinions, questions addressed to the Operator (according to the quality held), requests/requests (e.g. requests for copies of documents, requests to complete the agenda - including the justification or draft decision proposed for adoption by the Shareholders' Meetings;
- voting (including by mail ballot);
- postal address, e-mail address, no. by fax provided by the shareholder requesting copies of the documents; bank account (if applicable – e.g. dividend payment);
- holographic signature and/or extended electronic signature (as applicable).

The company collects personal data directly from the data subjects (e.g. through the requests made, through the means indicated for correspondence by the data subjects, from the documents provided by the data subjects, on the occasion of expressing the right to vote, during the meetings of the Shareholders' Meetings, through participation in the meeting or by correspondence) or indirectly, through DEPOZITARUL

CENTRAL SA, in the form of an extract from the Register of shareholders/consolidated lists of holders of financial instruments.

4. The purposes and grounds of personal data processing

These personal data are processed by the Company pursuant to art. 6 paragraph 1 letter c) from the GDPR, respectively for the fulfillment of the legal obligations of the Operator, according to the legal framework, respectively according to the Companies Law no. 31/1990, of Law no. 24/2017 regarding issuers of financial instruments and market operations and ASF Regulation no. 5/2018 regarding issuers of financial instruments and market operations, for the purposes of organizing, convening, holding meetings of Shareholders' Assemblies and implementing the resolutions/decisions adopted within them, in particular for:

- preparation of the convenor, transmission and publication of the convenor to ensure the right to information according to the law (e.g. in the Official Gazette, newspaper, on the Operator's website, etc.), including the publication of the updated agenda;
- the exercise of the rights of the persons concerned, in the capacity held within the legal relationship with the Operator, according to the constitutive act of the Company and the legal framework, in particular:
 - the right to ask questions regarding the agenda of the Shareholders' Meeting;
 - the right to make requests/requests to complete the agenda,
 - the right to propose draft decisions for the items on the agenda;
 - the right to participate in the Shareholders' Meeting;
 - the right to have access to the location where the Shareholders' Meeting is held;
 - shareholders' right to be informed;
- the right to exercise the vote (e.g. by representative or by correspondence), including formalities related to the verification of the quality of shareholder/representative/substitute;
- other formalities related to the organization and conduct of the Shareholders' Meetings (e.g. publication of the Resolutions/decisions of the Shareholders' Meeting);
- implementation of the resolutions/decisions adopted during the Shareholders' Meetings, according to the legal provisions.

The same data will be processed for convening at a later date, in case of non-fulfillment of the quorum conditions provided for the first convening of a Shareholders' Meeting.

If the Company decides to process personal data for other purposes, especially based on Article 6, paragraph 1, letter a) of the GDPR, respectively based on the consent of the data subject, the Company will carry out the related formalities separately and specifically (eg. prior information of the person concerned, recording of consent, etc.).

The company will not process personal data for declared incompatible purposes.

5. Duration of personal data processing

The personal data will be stored on paper and electronic media, for the entire period of the Company's existence, in the form of files of the meeting of the Shareholders' Meeting.

If applicable, the data required for payment (e.g. Dividends, bank account, name, surname, address, CNP, bulletin/identity card for Romanian citizens or, as the case may be, passport/residence permit for foreign citizens) will be kept on a maximum period of 10 years (keeping period of accounting documents).

6. Authorized Persons and Data Recipients

Personal data may be transmitted by the Operator, as the case may be, in order to fulfill the purposes, according to the law, to:

- Trade Registry Office;
- Depozitarul Central/Central Depository;
- Financial Supervision Authority;
- Banking institutions, law firms;
- The newspaper chosen to fulfill the information formality, according to the law;
- The Official Gazette of Romania;
- Courts or other judicial authorities;
- The Secretariat of the Meeting of Shareholders and the consultants involved.

In accordance with the law, the data will be transmitted to the data subjects.

The data thus transmitted will be adequate, relevant and not excessive in relation to the purpose for which they were collected and which allows the transmission to a specific recipient.

Given the information and publication formalities required by law (e.g. Official Gazette, circulation newspaper), the data will be accessible to the general public, strictly for information purposes, any processing

of data by unauthorized persons and/or for other purposes (e.g. by the public) not being under the instructions/control/responsibility of the Operator.

7. Transfer of personal data:

Personal data will not be transferred to countries outside the European Economic Area.

8. Rights of the data subject

For complete information, as a data subject, you have the following rights with regard to your personal data exclusively, provided by the GDPR, under the conditions/limits provided by the GDPR:

- a) the right to information and access to your personal data,
- b) the right to rectify your data,
- c) the right to be forgotten/the right to data deletion,
- d) the right to restriction of processing,
- e) the right to data portability,
- f) the right not to be subject to an individual decision, which means that you have the right to request and obtain the withdrawal, cancellation and reconsideration of any decision that produces legal effects on you, adopted solely on the basis of a personal data processing operation by means automated, for the purpose of evaluating some personality traits, such as your behavior at work,
- g) the right to notify the National Supervisory Authority of Personal Data Processing or any competent courts.

In relation to the Operator, the rights can be exercised through a written request, signed and dated, sent to the Operator's headquarters: registered office in the municipality of Constanța, Incinta Port Nou - Dana 44, Constanța county, Romania, or by email to the addresses: dpo@umex.ro and umex@umex.ro.

If the data subject addresses the Operator with a request regarding the exercise of rights regarding the protection of personal data, the Operator will respond to this request in compliance with the provisions of the GDPR.

9. Other aspects:

The personal data operator guarantees that it processes your data under legal conditions, while also implementing appropriate technical and organizational measures to ensure the integrity and confidentiality of the data according to art. 25 and 32 of the GDPR.

At the Company level, no shareholder (natural person), or natural person (representative of a shareholder) or other person concerned by the processing operations related to Shareholders' Meetings, according to this Information Note, will be the subject of a decision based exclusively on the automatic processing of personal data, including the creation of profiles, which produce legal effects, in relation to the respective data subject, or which similarly affect him to a significant extent.

The persons concerned can at any time request clarifications regarding this Information Note by a written request addressed to the Company at the headquarters, or by email: dpo@umex.ro and umex@umex.ro.